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# BEFORE THE ARIZONA BOARD OF BEHAVIORAL HEALTH EXAMINERS

#### In the Matter of:

Corrine D. Harper, LPC-2098, Licensed Professional Counselor, In the State of Arizona.

CASE NO. 2021-0117
CONSENT AGREEMENT

#### **RESPONDENT**

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Corrine D. Harper ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

#### **RECITALS**

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

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- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

#### FINDINGS OF FACT

- 1. Respondent is the holder of License No. LPC-2098 for the practice of counseling in the State of Arizona.
- 2. From 12/17 05/18 and from 08/20 05/21, an adult male client ("Client") received behavioral health services from Respondent at her private practice.
- 3. A letter dated 12/07/20 from Respondent was provided to Client's attorney, which included the following opinions and recommendations:
  - a. Client's wife ("Wife") asked Client to "sign a remaining document as part of the legal separation process."
  - b. Client trusted what Wife told him.
  - c. Client blindly signed the paperwork.
  - d. "It appears he was likely manipulated into signing..."

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- e. "No clear-thinking person who understood the legal process would have done such a thing."
- f. "I strongly recommend that this situation be re-evaluated for equity."
- g. "The children may experience permanent issues if this matter of visitation is not corrected."
- h. "It is my professional opinion that the mental health issues of both partners played a significant role in how these transactions occurred."
- 4. Respondent represented the following regarding the 12/07/20 letter:
  - Client's attorney requested a letter from Respondent to assist in getting the legal document overturned.
  - Respondent confirmed she never had any contact with Wife or Wife's children.
  - c. Respondent understands she is not in a professional capacity to assess or evaluate parenting time.
- 5. Despite not having a legal obligation to do so, Respondent prepared a letter with opinions and recommendations that was used in a court proceeding.
- 6. Respondent's letter also provided opinions and recommendations involving Wife and the children despite having never met, communicated with or provided services to them.
- 7. Additionally, Respondent took an active role in Client's court case, including the following:
  - a. Client's 08/11/20 progress note indicates that Respondent referred Client to an attorney.
  - b. **NOTE**: Respondent represents Client did not retain the attorney she recommended, as he had already obtained legal counsel.

- c. Client's 12/01/20 progress note indicates Respondent and Client reviewed a timeline of events for the letter Respondent provided to Client's attorney.
- d. The timeline for the letter included a disclosure that allegedly occurred on 10/21/20, despite that information not being documented in Client's 10/21/20 progress note.
- 8. Respondent represented the following to Board staff:
  - Respondent's statement in the letter that Client was "likely manipulated"
     was based on a series of events reported by Client.
  - b. Respondent was not sure whether or not Client was manipulated.
  - Respondent did not review the Code of Ethics before drafting this letter and did not staff with any colleagues.
  - e. Respondent has "no idea" why the 12/07/20 letter indicates Client made a disclosure during a 10/21/20 session.
- 9. Respondent providing an unsolicited opinion-based letter for a court proceeding was outside the scope of, and not congruent with, her therapeutic duties.
- 10. A review of clinical records for Client and two (2) of Respondent's other clients revealed the following documentation deficiencies:
  - The consents for treatment for all three (3) clients were missing several required elements.
  - b. Treatment plans for all three (3) clients were missing several required elements.
  - c. Progress notes for all three (3) clients were missing several required elements.

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- d. The clinical records for all three (3) clients were missing several required telepractice elements.
- 11. Respondent represented the following regarding her documentation deficiencies:
  - a. An audit of Respondent's other client files would reveal similar deficiencies.
  - b. Respondent reviewed relevant statutes but misunderstood how these elements should be applied to her documentation.
  - c. Respondent used another office's forms because she thought it "covered everything."
- 12. As of 07/01/21, Respondent closed her private practice.

#### **CONCLUSIONS OF LAW**

- 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 *et seq.* and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(m), engaging or offering to engage as a licensee in activities that are not congruent with the licensee's professional education, training or experience.
- 3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(p), failing to conform to minimum practice standards as developed by the board, as it relates to:

A.A.C. R4-6-1101, Consent for Treatment.

A.A.C. R4-6-1102, Treatment Plan.

A.A.C. R4-6-1103, Client Record.

A.A.C. R4-6-1106, Telepractice.

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#### 1 ORDER NOT TO RENEW 2 Based upon the foregoing Findings of Fact and Conclusion of Law, the parties agree to 3 the provision and penalties imposed as follows: 4 1. As of the effective date of the Consent Agreement, Respondent shall not practice 5 under their license. 6 Respondent's license, LPC-2098, shall by rule, expire on 01/31/23. 7 3. Respondent agrees not to renew their license. 4. Respondent agrees not to submit any type of new license application to the 8 9 Board for a minimum of five (5) years. 5. 10 This Consent Agreement is conclusive evidence of the matters described herein and may be considered by the Board in determining appropriate sanctions in the event a 11 subsequent violation occurs. 12 PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT 13 Corrine Day Harper Sep 28, 2021 14 Corrine Day Harper (Sep 28, 2021 04:38 PDT) Corrine D. Harper 15 16 **BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT** 17 Sep 28, 2021 By: 18 TOBI ZAVALA, Executive Director Date Arizona Board of Behavioral Health Examiners 19 20 Sep 28, 2021 **ORIGINAL** of the foregoing filed 21 with: 22

Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 Phoenix, AZ 85007

**EXECUTED COPY** of the foregoing sent electronically Sep 28, 2021

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