2 In the Matter of: 3 Vincent S. Kinsey, LPC-11606, CASE NO. 2022-0006 Licensed Professional Counselor. 4 In the State of Arizona. **RELEASE FROM CONSENT AGREEMENT AND ORDER** 5 RESPONDENT 6 7 The Board received a request from Respondent to release them from the terms and conditions of the Consent Agreement and Order dated October 26th, 2021. After consideration, 8 the Board voted to release Respondent from the terms and conditions of the Consent 9 Agreement and Order dated October 26th, 2021. 10 **ORDER** 11 GOOD CAUSE APPEARING, IT IS THEREFORE ORDERED THAT: 12 Respondent is hereby released from all terms and conditions of the Consent Agreement 13 and Order dated October 26th, 2021. 14 Dec 13, 2021 By: 15 TOBI ZAVALA, Executive Director Date 16 Arizona Board of Behavioral Health Examiners 17 Dec 13, 2021 **ORIGINAL** of the foregoing filed 18 with: 19 Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 20 Phoenix, AZ 85007 **EXECUTED COPY** of the foregoing sent electronically Dec 13, 2021 to: 21 22 Vincent S. Kinsev 23 Address of Record Respondent 24 25

BEFORE THE ARIZONA STATE BOARD OF BEHAVIORAL HEALTH EXAMINERS

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BEFORE THE ARIZONA BOARD OF BEHAVIORAL HEALTH EXAMINERS

In the Matter of:

Vincent S. Kinsey, LPC-11606, Licensed Professional Counselor, In the State of Arizona. CASE NO. 2022-0006
CONSENT AGREEMENT

RESPONDENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Vincent S. Kinsey ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

<u>RECITALS</u>

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- 3. Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

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- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

FINDINGS OF FACT

- 1. Respondent is the holder of License No. LPC-11606 for the practice of counseling in the state of Arizona.
- 2. From 12/20 07/21, Respondent provided behavioral health services to an adult male client ("Client").
- 3. A review of Respondent's clinical record for Client revealed the following documentation deficiencies:
 - a. Respondent's consent for treatment for Client was missing the following required elements:
 - Purpose of treatment.
 - General procedures to be used in treatment, including benefits and potential risks.
 - The client's right to have the client's records.

- Methods for the client to obtain information about the client's records.
- The client's right to be advised of the consequences of refusal or withdrawal of treatment.
- The client's right to be informed of all fees that the client is required to pay and the licensee's refund and collection policies and procedures.
- Inherent confidentiality risks of electronic communication.
- The potential for technology failure.
- Emergency procedures when the licensee is unavailable.
- The dated signature from an authorized representative of the behavioral health entity.
- b. Additionally, Client's consent for treatment was dated 07/22/21, despite services beginning in 12/20.
- c. Respondent represented the following regarding the consent for treatment:
 - Client's consent forms were missing from the file, so Client had to sign them again.
 - The original forms would have been signed after the third session because when Respondent first meets with a client, Respondent does not take the case.
 - If Respondent chooses to treat them, then he gives them consent forms.
- d. Although Client's 01/07/21 treatment plan was signed by Client and Respondent, Client did not sign the Treatment Plan Revised section.
- e. Client's progress notes were missing the following required elements:
 - The time spent providing behavioral health services.
 - Whether the counseling was individual, couples, family, or group.
 - The dated signature of the Respondent.

f.	Respondent's telehealth sessions for Client were missing the following
	elements:

- The mode of session.
- The client's physical location.
- The client's local emergency contacts.
- g. Respondent's billing records for Client included the following issues:
 - Respondent erroneously billed one session that did not occur.
 - There appear to be several sessions that Respondent did not submit for billing.
- h. Additionally, Although Client's wife ("Wife") attended at least two (2) sessions with Client, Respondent did not prepare any collateral documentation for Wife.
- i. Respondent represented the following to Board staff:
 - Wife did not sign any documentation because Respondent did not think about it and it was a common courtesy.
 - Respondent did not explain Wife's role in the therapy, Respondent's role, or information regarding rights.
- 4 Although Respondent has been independently licensed for nearly two decades and is currently in a private practice setting, it appears he was unaware of several basic documentation requirements.
- 5. After receiving this Board complaint, Respondent submitted updated documents that appear to meet minimum Board requirements.

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CONCLUSIONS OF LAW

- 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seq. and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(p), failing to conform to minimum practice standards as developed by the board, as it relates to:
 - a. A.A.C. R4-6-1101, Consent for Treatment
 - b. A.A.C. R4-6-1102, Treatment Plan
 - c. A.A.C. R4-6-1103, Client Record
 - d. A.A.C. R4-6-1104, Financial and Billing Records
 - e. A.A.C. R4-6-1106. Telepractice

ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

- Respondent's license, LPC-11606, will be placed on probation for 12 months, effective from the date of entry as signed below.
- 2. Respondent shall not practice under their license, LPC-11606, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 3. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until

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such time as they are granted approval to re-commence compliance with the Consent Agreement.

Continuing Education

4. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of continuing education addressing behavioral health documentation. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

Early Release

5. After completion of the continuing education requirements set forth in this Consent Agreement, Respondent may request early release from the Consent Agreement if all other terms of the Consent Agreement have been met.

GENERAL PROVISIONS

Provision of Clinical Supervision

6. Respondent shall not provide clinical supervision while subject to this Consent Agreement.

Civil Penalty

- 7. Subject to the provisions set forth in paragraph 8, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.
- 8. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or

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money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.

- 9. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.
- 10. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.
- 11. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 12 through 14 below.
- 12. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board

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within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 13. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 14. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the required statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to

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the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 15. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 16. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of this Consent Agreement have been met and whether Respondent has adequately demonstrated that they have addressed the issues contained in this Consent Agreement. In the event that the Board determines that any or all terms and conditions of this Consent Agreement have not been met, the Board may conduct such further proceedings as it determines are appropriate to address those matters.
- 17. Respondent shall bear all costs relating to probation terms required in this Consent Agreement.
- 18 Respondent shall be responsible for ensuring that all documentation required in this Consent Agreement is provided to the Board in a timely manner.
 - 19. This Consent Agreement shall be effective on the date of entry below.
- 20. This Consent Agreement is conclusive evidence of the matters described herein and may be considered by the Board in determining appropriate sanctions in the event a subsequent violation occurs.

1	PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMEN		
2	Vincent kinsey Vincent kinsey (Oct 26, 2021 08:50 PDT)	Oct 26, 2021	
3	Vincent S. Kinsey	Date	
4	BOARD ACCEPTS, SIGNS AND DATES THIS	S CONSENT AGREEMENT	
5	Mi. Tarlo	Oct 26, 2021	
6	By: TOPLIZAVALA F		
7	TOBI ZAVALA, Executive Director Arizona Board of Behavioral Health Examiners	Date	
8	ORIGINAL of the foregoing filed Oct 26, 2021		
9	ORIGINAL of the foregoing filed with:		
10	Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 Phoenix, AZ 85007		
11			
12	EXECUTED COPY of the foregoing sent electronically Oct 26, 2021		
13	to:		
14	Mona Baskin		
	Assistant Attorney General 2005 North Central Avenue		
15	Phoenix, AZ 85004		
16	Vincent S. Kinsey		
17	Address of Record Respondent		
18	Flynn Carey		
19	Mitchell Stein Carey Chapman		
20	2 North Central Avenue, Ste. 1450		
21	Attorney for Respondent		
22			
23			