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# BEFORE THE ARIZONA BOARD OF BEHAVIORAL HEALTH EXAMINERS

In the Matter of:

Sarah E. Kircher, LMSW-19191, Licensed Master Social Worker, In the State of Arizona.

#### RESPONDENT

CASE NO. 2023-0180
CONSENT AGREEMENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Sarah E. Kircher ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

#### **RECITALS**

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement and the lifting of the stay of suspension.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

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- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

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otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

#### FINDINGS OF FACT

- Respondent is the holder of License No. LMSW-19191 for the practice of social work in the State of Arizona.
- 2. Between 02/20 04/22 and 01/23 04/23, Respondent was employed at Agency 1 with Complainant, part time.
  - 3 On 02/14/23, Respondent informed Complainant of behavioral health concerns.
- 4. Respondent also notified her other employer, Agency 2, where she was working fulltime, of her behavioral health concerns.
  - 5. In a 03/22/23 meeting note from Complainant, she indicated the following:
    - Respondent was strongly encouraged to enter the Board's Impaired Professional Program.
    - Respondent stated if she does, then she risks her medical records being opened.

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- c. When asked why Respondent did not disclose her behavioral health concerns on her license, Respondent noted she had a diagnosis years ago and had substance use concerns.
- 6. On 04/14/23, the Board received a complaint against Respondent due to concerns of substance use and behavioral health.
- 7. Due to these concerns, Board staff contacted Respondent to discuss an Interim Consent Agreement ("ICA"), and on 04/18/23, Respondent signed and returned the ICA.
- 8. On 09/14/23, approximately five months after signing the ICA, Respondent requested to be released and represented her sobriety date was 01/25/23 and that she had been engaged in treatment for her behavioral health and substance use issues.
- 9. Respondent is aware of her long-standing history with substance use and behavioral health concerns.
- 10. A 07/23 letter from an IOP counselor noted that Respondent completed the Chemical Dependency Intensive Outpatient Group Program on 07/12/23 and she was consistent with attendance, highly involved, and made excellent progress.
- 11. A 09/23 letter from IOP noted that Respondent graduated from the Mental Health Intensive Outpatient program on 09/20/23.
- Respondent was forthcoming about her issues with Therapist and progress notes outline her symptoms improving.
  - 13. A 10/23 letter from Psychiatrist noted the following:
    - Respondent has been honest about her behaviors, stressors and general mental health and alcohol use.
    - b. She has not let her mental health impact her work.
    - c. Respondent is getting Phosphatidyl ethanol testing every few months, and results for tests taken in 06/23, 09/23 and 11/23 were all negative.

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	14.	Respondent represented however, during her investigative interview, that if she
ever be	elieved	her mental health or substance use impacted her ability to competently and safely
practic	e, she v	would have reported herself.

- 15. After her behavioral health concerns, hospitalization, and ongoing substance use problem, Respondent failed to self-report to the Board.
- 16. Despite the noted concerns, Respondent has successfully completed two Intensive Outpatient Programs to address her substance use and mental health, and she is participating in ongoing therapy and psychiatric treatment.
- 17. Respondent's recovery plan notes her supports include having her husband present during social gatherings, family and friends, Psychiatrist and Therapist.
  - 18. Respondent has represented the following during her investigative interview:
    - a. Respondent's triggers are loneliness, feeling disconnected, and compound stress.
    - b. It does not bother her when others drink around her and her husband does have alcohol in the house.
    - c. Respondent feels the combination of treatment she has received since the ICA has helped her.
    - d. She is ready to resume work as a therapist and feels safe and competent to practice.
    - e. She is going to be a stronger therapist as a result of her experiences.
    - If she relapses or finds that her substance use or mental health affects her ability to competently practice, she will report herself.
- 19. During her investigative interview, when asked about previous hospitalizations, Respondent represented she had been previously hospitalized on 10/21.

- In her ICA release request, Respondent only outlined her treatment history following 01/23.
- 21. A review of her clinical records showed multiple prior hospitalizations for behavioral health concerns that she never reported to the Board previously.
- 22. Furthermore, when Respondent submitted her 12/19 application for a temporary LMSW license, she answered "no" to the background question regarding any substance use treatment within the past five years.
- 23. On 11/22, Respondent renewed her LMSW license and once again answered "no" to the question regarding receiving any treatment within the last five years for use of alcohol or any mental disorder or condition.
- 24. With both applications, Respondent signed a certifying statement and outlined, under penalty of perjury, the information contained in the application is true and correct to the best of her knowledge.
- 25. Despite there being a history of prior hospitalizations, Respondent failed to disclose them to Board staff during the investigative interview and in prior applications and renewals.
- 26. Additionally, Respondent was not fully forthcoming with Board staff during her investigative interview and appears to lack some insight into her substance use due to her representations.

#### **CONCLUSIONS OF LAW**

1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 *et seq.* and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.

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- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(I), engaging in any conduct, practice or condition that impairs the ability of the licensee to safely and competently practice the licensee's profession.
- 3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(c)(ii), making any oral or written misrepresentation of a fact to secure or attempt to secure the issuance or renewal of a license.

#### <u>ORDER</u>

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

#### Stayed Suspension

- As of the effective date of this Consent Agreement, Respondent's license,
   LMSW-19191, shall be SUSPENDED for 24 months. However, the suspension shall be stayed
   and Respondent's license shall be placed on probation.
- 2. During the stayed suspension portion of the Order, if Respondent is noncompliant with the terms of the Order in any way, the stay of the suspension shall be lifted and Respondent's license shall be automatically suspended as set forth above.
- 3. If Respondent contests the lifting of the stay as it relates to this paragraph, Respondent shall request in writing, within 10 days of being notified of the automatic suspension of licensure, that the matter be placed on the Board agenda for the Board to review and determine if the automatic suspension of Respondent's license was supported by clear and convincing evidence.
- 4. If the written request is received within 10 days of a regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting.

- 5. Pending the Board's review, Respondent's license shall be reported as suspended under review. Respondent may not work in any capacity as a licensed behavioral health professional pending the Board's review. The Board's decision and Order shall not be subject to further review.
- Respondent's license, LMSW-19191, will be placed on probation for 24 months,
   effective from the date of entry as signed below.
- 7. Respondent shall not practice under their license, LMSW-19191, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 8. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.

#### **Therapy**

9. During the period of probation, Respondent shall attend therapy for 24 months with a masters or higher-level behavioral health professional licensed at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of their therapist and the therapist's curriculum vitae for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the therapist shall submit a letter addressing why they should be approved, acknowledging that they have reviewed the Consent Agreement and include the results of an initial assessment and a treatment plan regarding the proposed treatment of Respondent.

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10. Upon approval, the Board will provide the therapist with copies of any required evaluations completed at the request of the Board prior to this Consent Agreement and the Board's investigative report.

#### Focus and Frequency of Therapy

11. The focus of the therapy shall relate to issues identified in the Board's report. Respondent shall meet with the therapist twice monthly for the first 12 months. After the first 12 months, the frequency of therapy shall be at the recommendation of the therapist, but not less than once monthly.

#### Change of Therapist

12. In the event that, during the period of Respondent's probation, Respondent's Board-approved therapist discontinues treatment, Respondent shall submit the name of a new therapist and the therapist's curriculum vitae for pre-approval by the Board Chair or designed within 30 days of the discontinued treatment. Also, within 30 days of the date of the discontinued treatment, the proposed therapist shall submit a letter addressing why they should be approved, acknowledging that they have reviewed the Consent Agreement, and include the results of an initial assessment and a treatment plan regarding the proposed treatment of Respondent.

#### **GENERAL PROVISIONS**

#### **Provision of Clinical Supervision**

13. Respondent shall not provide clinical supervision to associate level licensees accruing and submitting hours towards independent licensure while subject to this Consent Agreement.

#### **Civil Penalty**

14. Subject to the provisions set forth in paragraph 15, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.

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- 15. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.
- 16. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.
- 17. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.
- 18. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 19 through 21 below.

- 19. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 20. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 21. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health

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related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 22. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 23. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of this Consent Agreement have been met and whether Respondent has adequately demonstrated that they have addressed the issues contained in this Consent Agreement. In the event that the Board determines that any or all terms and conditions of this Consent Agreement have not been met, the Board may conduct such further proceedings as it determines are appropriate to address those matters.
- 24. Respondent shall bear all costs relating to probation terms required in this Consent Agreement.

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1	25. Respondent shall be responsible for ensuring that all documentation required in					
2	this Consent Agreement is provided to the Board in a timely manner.					
3	26. This Consent Agreement shall be effective on the date of entry below.					
4	27. This Consent Agreement is conclusive evidence of the matters described herein					
5	and may be considered by the Board in determining appropriate sanctions in the event a					
6	subsequent violation occurs.					
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8	PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT					
9	Sarah kirch (Jan 20, 2024 14:28 MST)		Jan 20,	2024		
10	Sarah E. Kircher		Date			
11	BOARD ACC	EPTS, SIGNS AND DATES TH	HIS CONSEN	IT AGREEMENT		
12	BV: Mli Zarala		Jan 22,	2024		
13	By: TOBI ZAVALA, E:	xecutive Director	Date	2021		
14	Arizona Board of	Behavioral Health Examiners				
15	OPICINAL of the foregoi	ing filed Jan 22, 2024				
16	ORIGINAL of the foregoing filed with:					
17						
18	1740 West Adams Street, Suite 3600 Phoenix, AZ 85007					
19	<b>EXECUTED COPY</b> of the	e foregoing sent electronically _	Jan 22, 2024	<u> </u>		
20	to:					
21	Mona Baskin Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004					
22						
23	Sarah E. Kircher					
24	Address of Record Respondent					
25						